

**NEW YORK STATE
MEDICAID PROGRAM**

DENTAL

**POLICY AND PROCEDURE CODE
MANUAL**

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Section I - Requirements for Participation in Medicaid

Dental providers must be licensed and currently registered by the New York State Education Department (NYSED), or, if in practice in another state, by the appropriate agency of that state, and must be enrolled as providers in the New York State Medicaid program.

No provider who has been suspended or disqualified from the Medicaid program may receive reimbursement by the Medicaid program, either directly or indirectly, while such sanctions are in effect.

Qualifications of Specialists

A specialist is one who:

- Is a diplomate of the appropriate American Board; or
- Has successfully completed a full time course of graduate or postgraduate specialty training in a specialty program approved by the American Dental Association Council on Dental Education as an accredited advanced dental education program; or,
- Is listed as a specialist in the section on character of practice in the American Dental Association's American Dental Directory, and such listing was attained prior to December 31, 1967; or
- Is listed as a specialist on the roster of approved dental specialists of the New York State Department of Health (DOH).

All dental providers enrolled in the Medicaid program are eligible for reimbursement for all types of services except for orthodontic care, dental anesthesia and those procedures where a specialty is indicated. *There is no differential in levels of reimbursement between general practitioners and specialists.*

- Orthodontic care is reimbursable only when provided by a board certified or board eligible orthodontist or an Article 28 facility which have met the qualifications of the DOH and are enrolled with the appropriate specialty code.
- General anesthesia and parenteral conscious sedation are reimbursable only when provided by a qualified dental provider who has the appropriate level of certification in dental anesthesia by the NYSED. The NYSED issues certificates in three titles:
 - i. Dental **General Anesthesia**, which authorizes a licensed dental provider to employ general anesthesia, deep sedation, or conscious sedation (parenteral or enteral route with or without inhalation agents); and

- ii. Dental **Parenteral Conscious Sedation**, which authorizes a licensed dental provider to employ conscious sedation (parenteral or enteral route with or without inhalation agents); and
- iii. Dental **Enteral Conscious Sedation**, which authorizes a licensed dental provider to employ conscious sedation (enteral route only with or without inhalation agents).

Additional information can be found at the NYSED website at:

<http://www.op.nysed.gov/prof/dent/dentanesthes.htm>

Group Providers

A group of practitioners is defined in 18 NYCRR 502.2 as:

“...two or more health care practitioners who practice their profession at a common location (whether or not they share common facilities, common supporting staff, or common equipment).”

Regardless of the arrangement among practitioners (associates, employer-employee, principal-independent contractor), practitioners who practice in a group setting are required to enroll as a group and to comply with the requirements associated with group practices.

Regardless of the nature of the practice (group, employer-employee, associate, etc.), the name, NPI and other required information of the dentist **actually providing the service or treatment** must be entered in the “Servicing Provider” on all claims and prior approval requests.

Application of Free Choice

A Medicaid recipient is guaranteed free choice of a dental provider in obtaining the dental care available under the New York State Medicaid program.

Credential Verification Reviews

Credential Verification Reviews (CVRs) are periodic onsite visits of a provider’s place of business to ensure overall compliance with Medicaid regulations. These visits are conducted by the Medicaid program and the Office of the Medicaid Inspector General (OMIG), and assess such areas as:

- provider and staff identification and credentialing
- physical attributes of the place of business
- recordkeeping protocols and procedures regarding Medicaid claiming.

CVRs are conducted for such sites as:

- medical and dental offices

- pharmacies
- durable medical equipment retailers, and
- part time clinics.

We do not perform CVRs at hospitals, nursing homes, etc.

Every effort is made to conduct these visits in a professional and non-obtrusive manner. Investigators conducting these reviews will have a letter of introduction signed by the Office of the Medicaid Inspector General and a photo identification card.

Should providers, or their staff, have questions regarding these reviews, they can contact:

**The New York State Office of the Medicaid Inspector General
Dental Unit
(518) 402-1837**

Section II - Dental Services

Dental Care in the Medicaid program shall include only ESSENTIAL SERVICES rather than comprehensive care. The provider should use this Manual to determine when the Medicaid program considers dental services "essential". The application of standards related to individual services is made by the DOH when reviewing individual cases.

Dental clinics licensed under Article 28 and dental schools must follow the policies stated in this Manual and should use this Manual to determine when dental services are considered "essential" by the Medicaid program.

These clinics and schools are exempt from the prior approval procedure (except for orthodontic treatment) because of internal quality assurance processes that insure their compliance with existing Medicaid policy. Providers submitting fee for service claims for the "professional component" of services provided in an approved Article 28 setting are required to have prior approval.

Children's Dental Services

A child is defined as anyone under age 21 years. The fee published is applicable to both children and adults, except where otherwise noted.

Standards of Quality

Services provided must conform to acceptable standards of professional practice.

Quality of Services Provided

Dental care provided under the Medicaid program must meet as high a standard of quality as can reasonably be provided to the community-at-large. All materials and therapeutic agents used or prescribed must meet the minimum specifications of the American Dental Association, and must be acceptable to the State Commissioner of Health. Experimental procedures are not reimbursable in the Medicaid program.

Scope of Hospitalization Services

Medicaid beneficiaries are provided a full range of necessary diagnostic, palliative and therapeutic inpatient hospital care, including but not limited to dental, surgical, medical, nursing, radiological, laboratory and rehabilitative services.

Limitations of Hospitalization

Medicaid utilization review (UR) agents are authorized to review the necessity and appropriateness of hospital admissions and lengths of stay, and to determine Medicaid benefit coverage. These review agents will review inpatient dental services both on a pre-admission and retrospective basis. Emergency admissions may be reviewed retrospectively for necessity and appropriateness.

If you have any questions regarding specific Medicaid hospital review requirements, you may contact the DOH, Bureau of Hospital and Primary Care Services at:
(518) 402-3267

Child/Teen Health Program

Please refer to the EPSDT/CTHP Provider Manual for Child Health Plus A (Medicaid), available at the following website:

<http://www.emedny.org/ProviderManuals/index.html>

Child Health Plus Program

The goal of the Child Health Plus Program is to improve child health by increasing access to primary and preventive health care through a subsidized insurance program. A child eligible for Medicaid is not eligible for Child Health Plus.

For more information on benefits, contact the Child Health Plus Program at:
(800) 698-4543

Dental Mobile Van

The use of mobile vans to provide the operatories for the provision of dental services has become more prevalent than in the past.

In recognition of this trend, additional information about the use of such vans or other movable vehicles is requested of providers seeking to enroll into the Medicaid program for the first time.

As an enrolled dental provider, if you have obtained a van (or other movable vehicle), for the provision of dental services subsequent to your enrollment, you must update your enrollment information. Provider Maintenance Forms are available online at:

<http://www.emedny.org/info/ProviderEnrollment/index.html>

and must be sent to:

Computer Sciences Corporation
P.O. Box 4610
Rensselaer, New York 12144

Requirements and Expectations of Dental Clinics

General Expectations

- Dental clinics reimbursed on a rate basis or through APG's (i.e., hospital outpatient departments, diagnostic and treatment centers, and dental schools) are required to follow the policies stated in the Dental Provider Manual.
- The provision of dental care and services are limited to those procedures presented in the Dental Fee Schedule, and are to be provided within the standards and criteria listed in the procedure code descriptions.
- Dental care provided under the Medicaid program includes only *essential services* (rather than "comprehensive" services).
- When billing:
 - Other than orthodontic services (D8000 – D8999) there is **NO FEE-FOR-SERVICE (FFS) BILLING**;
 - Certify that the services were provided;
 - Enter the group NPI in the Medicaid Group Identification Number field;
 - Enter the NPI of the practitioner who actually provided the service in the "Provider Identification Number" field; and,
 - Where the facility has multiple locations where services can be provided, identifying the place of **actual service** on the claim form.

Services Not Within the Scope of the Medicaid Program

- Dental implants and related services;
- Fixed bridgework, except for cleft palate stabilization, or when a removable prosthesis would be contraindicated;
- Immediate full or partial dentures;
- Molar root canal therapy for beneficiaries 21 years of age and over, except when extraction would be medically contraindicated or the tooth is a critical abutment for an existing serviceable prosthesis provided by the NYS Medicaid program;
- Crown lengthening;
- Replacement of partial or full dentures prior to required time periods unless appropriately documented and justified as stated in the Manual;
- Dental work for cosmetic reasons or because of the personal preference of the recipient or provider;

- Periodontal surgery, except for procedure D4210 – gingivectomy or gingivoplasty, for the sole correction of severe hyperplasia or hypertrophy associated with drug therapy, hormonal disturbances or congenital defects;
- Adult orthodontics, except in conjunction with, or as a result of, approved orthognathic surgery necessary in conjunction with an approved course of orthodontic treatment or the on-going treatment of clefts;
- Placement of sealants for beneficiaries under 5 or over 15 years of age;
- Improper usage of panoramic images (D0330) along with intraoral complete series of images (D0210).

Services Which Do Not Meet Existing Standards of Professional Practice

- Partial dentures provided prior to completion of all Phase I restorative treatment which includes necessary extractions, removal of all decay and placement of permanent restorations;
- Extraction of clinically sound teeth;
- Teeth left untreated;
- Treatment done without clinical indication. Procedures should not be performed without documentation of clinical necessity. Published “frequency limits” are general reference points on the anticipated frequency for that procedure. Actual frequency must be based on the clinical needs of the individual recipient;
- Restorative treatment of teeth that have a hopeless prognosis and should be extracted;
- Taking of unnecessary or excessive radiographic images; and,
- “Unbundling” of procedures.

Miscellaneous Issues

- Recipient medical histories should be updated periodically (annually at a minimum) and be maintained as part of the recipient’s dental records. The treating practitioner should refer to the recipient’s medical/dental history and treatment record to avoid unnecessary repetition of services.
- Non-emergency initial visits should include a cleaning, radiographic images (if required), and a dental examination with a definitive treatment plan. Generally, this should be accomplished in one visit. However, in rare instances, a second visit may be needed for completion of these services. A notation in the record to indicate the necessity for a second visit should be made.

Public health programs in schools, Head-Start Centers, dental schools, clinics treating those individuals identified with a recipient exception code of RE 81 (“TBI Eligible”) or RE 95 (“OMRDD/Managed Care Exemption”) and other settings are exceptions that may require more than one visit to complete the above mentioned services.

- Quadrant dentistry should be practiced, wherever practicable, and the treatment plan followed in normal sequence.
- Procedures normally requiring multiple visits (i.e., full dentures, partial dentures, root canals, crowns, etc.) should be completed in a number of visits that would be considered consistent with the dental community at large. If additional visits are required, a notation in the recipient’s record to indicate the necessity for each additional visit should be made.
- Radiographic images should be clear and allow for diagnostic assessment. They are performed based on need, age, prior dental history and clinical findings. All radiographic images, whether digitalized or conventional, must be of good diagnostic quality, properly mounted, dated, positionally orientated and identified with the recipient's name and provider name and address. The cost of all materials and equipment used shall be included in the fee for the image.

Medicaid claims payment decisions for types, numbers and frequency of images will be related to the needs of the individual recipient, dental age, past dental history and, most importantly, clinical findings. Guidelines on the selection of beneficiaries for Dental radiographic examination can be obtained from the “American Dental Association (ADA)” or the “U.S. Department of Health and Human Services, Food and Drug Administration (FDA)”.

Good quality, diagnostic, duplicate radiographic images, must be made available for review upon request of the Department of Health. There is no reimbursement for duplication of images. If original radiographs are submitted, they will be returned after each review. Other types of images that can be readily reproduced will not be returned. All images must be retained by the provider for a minimum of six years, or the minimum duration prescribed by law, from the date of payment.

- Facilities should use the Department’s PVR 292 list (providers who may not bill or order services) when checking and verifying the credentials of the dental professionals that make up their staff.

This list is currently available on the Department’s website at:

http://www.health.ny.gov/health_care/medicaid/fraud/dqprvpg.htm

Section III - Basis of Payment for Services Provided

It is the provider's responsibility to verify each recipient's eligibility at EVERY appointment. Even when a service has been prior approved / prior authorized, the provider must verify a recipient's eligibility via the MEVS before the service is provided and comply with all other service delivery and claims submission requirements described in each related section of the provider manual.

Payment for dental services is limited to the lower of the usual and customary fee charged to the general public or the fee developed by the DOH and approved by the New York State Director of the Budget. The Dental Fee Schedule is available on line at: <http://www.emedny.org/ProviderManuals/Dental/index.html>

Payment for Services Not Listed on the Dental Fee Schedule

If an "essential" service is rendered that is not listed in the fee schedule, the fee will be determined by the DOH, which will use the most closely related service or procedure in the fee schedule as the basis for determining such fee.

Payment for Orthodontic Care

Orthodontic care for severe physically handicapping malocclusions will be reimbursed for an eligible recipient for a maximum of three years of active orthodontic care, plus one year of retention care. Cleft palate or approved orthognathic surgical cases may be approved for additional treatment time. Treatment not completed within the maximum allowed period must be continued to completion without additional compensation from the NYS Medicaid program, the recipient or family.

Managed Care

If a recipient is enrolled in a managed care plan which covers the specific care or services being provided, it is inappropriate to bill such services to the Medicaid program on a fee-for-service basis whether or not prior approval has been obtained.

Dental Services Included in a Facility Rate

Article 28 facilities must adhere to the program policies as outlined in this manual.

- **Hospital In-Patient; Ambulatory Surgery; Emergency Room**
The "professional component" for dental services can be reimbursed on a fee-for-service basis. Non-emergency treatment requires prior approval or prior authorization for those procedures indicated in the procedure codes section of this manual.
- **Out-Patient Clinic**

Dental services are reimbursed using “Ambulatory Patient Groups (APGs)” and include both the facility and professional reimbursement. There is no fee-for-service billing allowed.

➤ **OMH Psychiatric Centers**

Dental services are included in the facility rates. Payment for services in such facilities will not be made on a fee-for-service basis.

It is the responsibility of the facility to make arrangements for the provision of all dental services listed in the Provider Manual either within the facility or with area providers. Claims should not be submitted by either the provider(s) or facility for covered dental services or for transportation.

➤ **Intermediate Care Facilities (ICF)-DD**

ICF-DD providers should contact OPWDD for guidance on billing for dental services for their residents.

➤ **Residential Health Care Facilities (RHCF’s)**

In State

Dental services are included in the facility rates. Payment for services to residents of such facilities will not be made on a fee-for-service basis.

It is the responsibility of the facility to make arrangements for the provision of all dental services listed in the Provider Manual either within the facility or with area providers. Claims should not be submitted by either the provider(s) or facility for covered dental services or for transportation.

Out of State

It is the responsibility of the out-of-state RHCF to inform the provider if dental services are included in the rate.

Payment in Full

Fees paid by the Medicaid program shall be considered full payment for services rendered. Except for appropriate co-pay’s, no additional charge may be made by a provider.

Medicaid Beneficiaries cannot be charged for broken or missed appointments.

Providers are prohibited from charging any additional amount for a service billed to the Medicaid program. They cannot charge additionally for treatment services that are not covered by the program or are more costly without entering into a private pay arrangement which precludes any payment by the Medicaid program.

If a private payment agreement is entered into, it must be:

- In **WRITING**;
- Agreed to **BEFORE any treatment** is rendered;
- The recipient must be informed of **alternative treatment plans**, the advantages and disadvantages of each, as well as the expense and financial responsibilities of each;
- The recipient must have **full understanding and consent** that there may be service(s) or alternatives that could be provided through Medicaid coverage **without any expense to them**;
- The **recipient is responsible for 100% of the entire fee**. There cannot be any payment from Medicaid;

- The provision of this service **might alter future benefits available through Medicaid** (e.g. if payment is made through a private payment agreement for root canal(s) therapy, the recipient might not qualify for a partial denture and/or crowns for these teeth either now or in the future that they might otherwise be eligible for);
- The recipient may be responsible for any **subsequent or associated expenses** (e.g. if they pay privately for a molar root canal, they are also responsible for the final restoration of the tooth whether it is with a routine restoration or a crown); and,
- If any of the procedures in the treatment plan require prior approval from Medicaid, the provider should submit the necessary forms and documentation to Medicaid for review and a determination prior to the private payment agreement. The NYS DOH will not review a case or render any opinion after treatment has been started as a private payment agreement.

Medicare and Other Third-Party Insurers

Medicare and other third-party insurers provide reimbursement for various dental procedures. Since Medicaid is the payer of last resort, the provider must bill the recipient's third-party payers prior to requesting payment from Medicaid.

Medicaid will reimburse the **difference** only if the total third party payment(s) is (are) less than the lesser of the provider's usual and customary fee charged to the general public or the fee developed by the DOH for that specific procedure code.

Unspecified Procedure Codes

Unspecified procedure codes at the end of each section of the fee schedule are miscellaneous codes applicable to procedures within the scope of the Medicaid program, but for which suitable procedure codes do not currently exist.

Prior Approval / Prior Authorization Requirements

Prior approval / prior authorization does not ensure payment. The provider must verify a recipient's eligibility via the MEVS before every appointment and comply with all other service delivery and claims submission requirements described in each related section of the provider manual.

When the procedure code description is preceded by a "#", prior authorization is required through the use of the Dispensing Validation System (DVS) which can be accessed through the Medicaid Eligibility Verification System (MEVS). Providers must access MEVS when DVS is required and place the DVS prior authorization number on the claim. If DVS rejects the request due to service limits exceeded, a prior approval is required. The prior approval request must include medical documentation as to why the service limit needs to be exceeded. Prior approval requests received where the

provider has not requested prior authorization through DVS will be rejected and returned to the provider.

Procedures that require prior approval, or where a DVS over-ride is required, must not begin until the provider has received approval from the DOH. When any portion of a treatment plan requires prior approval, the **complete treatment plan** listing all necessary procedures, whether or not they require prior approval, must be listed and coded on the prior approval request form. Any completed treatment which is not evident on submitted images should be noted. No treatment other than provision of symptomatic relief of pain and/or infection is to be instituted until such time as cases have been reviewed and a prior approval determination made.

All prior approval requests should include **accurate pretreatment charting** clearly depicting all existing restorations and missing natural teeth. Any existing fixed or removable prosthetic appliances should be noted and their current conditions described and the date of initial placement noted. If applicable, a complete medical history, nutritional assessment, certification of employment and any other pertinent information that will assist in determining the necessity and appropriateness of the proposed treatment plan should be submitted.

The approved treatment plan, in it's entirety, must be adhered to. Any alteration of the approved course of treatment may render the entire approval null and void and subject to recoupment. Changes to an approved course of treatment should be submitted to the DOH by using a "prior approval change request form".

Minimum requirements for the submission of radiographic images with prior approval requests:

The minimum number of pre-treatment images needed to clearly show all **current** conditions and which allow for the proper evaluation and diagnosis of the **entire dentition** must accompany all requests for prior approval. Radiographs are not routinely required to obtain prior approval for full dentures, sealants, denture re-base etc.. The previously referenced guidelines on the selection of beneficiaries for radiographs should be followed.

Multiple restorations which are placed in teeth which are subsequently determined to need extraction as part of an approved prosthetic treatment plan are not acceptable if they were provided less than six months prior to the date of the prior approval request for the prosthesis.

When a treatment plan has been denied, services that were a portion of that plan will not be subsequently approved.

For non-emergency treatment, the same prior approval guidelines apply when treatment is being rendered by a specialist. If the recipient is referred to a specialist for treatment requiring prior approval, the referring provider can obtain the prior approval for use by the specialist, or the specialist can submit his/her own request.

When Prior Approval is Required

For professional dental services, payment for those listed procedures where the procedure code number is underlined is dependent upon obtaining the approval of the Department of Health **prior** to performance of the procedure. If such prior approval is not obtained, no reimbursement will be made. See the billing section of this Manual for information on completion and submission of prior approval requests. Prior approval does not guarantee payment. It should be noted that:

- Prior approval requests will automatically be rejected if there is no response to a request for additional information and the provider notified. The request will be reactivated without submitting a new request provided that the information is returned using the “Return Information Routing Sheet” provided by CSC with the original request for information;
- Prior approval requests may be denied if there is incomplete or insufficient response to a request for additional information;
- Dental providers may submit documents stored in a digitized format (x-rays, treatment plans, charting, photographs, etc.) as electronic attachments to dental **prior approval requests** when submitted through ePACES. This enhanced feature is currently **only available through ePACES**. The following file formats are currently supported: JPEG; TIF; PNG; and GIF. For more information on ePACES, or to enroll, please contact the eMedNY Call Center at (800) 343-9000;
- Back-dated prior approval can be issued on an exception basis, such as when eligibility has been back-dated and treatment requiring prior approval has already been rendered. The following guidelines apply:
 - The request must be received within 90 days of the date of treatment;
 - There is NO guarantee that the request will be approved or back-dated even if treatment has already begun and / or completed;
 - Treatment already rendered will NOT change the review criteria. Approval will not be issued that wouldn't have been approved otherwise;
 - The same documentation must be submitted as any other request (complete treatment plan, sufficient radiographic images to allow for the evaluation of the entire dentition, charting etc.) as appropriate for the case;
 - Appropriate documentation must be submitted showing that extenuating circumstances existed warranting back-dating of the request as well as the date that the service(s) was (were) performed;
 - Actions of either the provider or recipient do not commit the DOH to any particular course of treatment;
 - Approvals will NOT be issued for the convenience of the provider or recipient, or because the provider forgot or didn't realize that prior approval was required.

Emergency Treatment

For emergency service(s) where there are severe, life threatening, or potentially disabling conditions that require immediate intervention the provider should submit the

claim(s) for **ALL** service(s) by entering a “3” in the “SA EXCP CODE” field on the claim to indicate emergency care. When billing, the provider must document the nature of the emergency, the dental site and the specific treatment involved. This information should be placed on a separate sheet of paper and submitted with paper claim form ‘A’.

Recipient Restriction Program

Individuals currently enrolled in the RRP are required to enroll in Medicaid managed care programs.

Utilization Threshold

Under the Utilization Threshold program, it is necessary for providers to obtain a service authorization from the Medicaid Eligibility Verification System (MEVS) to render services for physician, clinic, laboratory, pharmacy, mental health clinic and dental clinic. This authorization to render services will be given unless a recipient has reached his/her utilization threshold limits. At this point, it will be necessary for an ordering provider to submit a special "Threshold Override Application" form in order to obtain additional services. In certain special circumstances, such as emergencies, providers do not have to receive authorization from MEVS. (see special instructions in the Billing Section of this Manual.) Arrangements have also been made to permit a provider to request a service authorization on a retroactive basis. In requesting a retroactive service authorization you risk your request being denied if the recipient has reached his/her limit in the interim. After you receive a service authorization your claim may be submitted to our Fiscal Agent for processing. The regulation requiring claims to be submitted within 90 days of the date of service still applies.

- Laboratories and pharmacies may not submit a request for an increase in laboratory or pharmacy services. Such requests are to be submitted by the ordering provider. Laboratories which need to determine whether tests are needed on an emergency or urgent basis shall consult with the ordering provider, unless the order form indicates that an urgent or emergency situation exists.
- Those limited laboratory services which can be rendered by a physician or podiatrist in private practice to his/her own beneficiaries do not count toward the laboratory utilization threshold.
- Utilization Thresholds will not apply to services otherwise subject to thresholds when provided as follows:
 - "Managed care services" furnished by or through a managed care program, such as a health maintenance organization, preferred provider plan, physician case management program or other managed medical care, services and supplies program recognized by the Department to persons enrolled in and receiving medical care from such program;
 - Services otherwise subject to prior approval or prior authorization;

- Reproductive health and family planning services including: diagnosis, treatment, drugs, supplies and related counseling furnished or prescribed by or under the supervision of a physician for the purposes of contraception or sterilization. They also include medically necessary induced abortions, screening for anemia, cervical cancer, glycosuria, proteinuria, sexually transmissible diseases, hypertension, breast disease and pregnancy and pelvic abnormalities;
- Child/Teen Health Plan services;
- Methadone maintenance treatment services;
- Services provided by private practitioners on a fee-for-service basis to inpatients in general hospitals and residential health care facilities;
- Hemodialysis services;
- School health project services;
- Obstetrical services provided by a physician, hospital outpatient department, or free-standing treatment and diagnostic center; and
- Primary care services provided by a pediatrician or pediatric clinic.

The numbers of visits, lab procedures, medical supplies, drugs, and other items for each provider type are found in **Information For All Providers, General Policy**.

Section IV - Definitions

For the purposes of the Medicaid program and as used in this Manual, the following terms are defined to mean:

Attending Dentist

The attending dentist is the dentist who is primarily and continuously responsible for the treatment rendered.

Referral

A referral is the direction of a recipient to another provider for advice or treatment.

Section V - Dental Procedure Codes

General Information and Instructions:

This section lists those procedure codes and nomenclature listed in the “Current Dental Terminology (CDT) ©” as published by the “American Dental Association (ADA) ®” which are covered services by the NYS Medicaid program. Some procedure descriptions are included for clarification of Medicaid policy. The CDT should be referenced for a full descriptor of each procedure.

The dental procedure codes are grouped into sections as follows:

	<u>Section</u>	<u>Code Series</u>
I.	Diagnostic	D0100-D0999
II.	Preventive	D1000-D1999
III.	Restorative	D2000-D2999
IV.	Endodontics	D3000-D3999
V.	Periodontics	D4000-D4999
VI.	Prosthodontics, removable	D5000-D5899
VII.	Maxillofacial Prosthetics	D5900-D5999
VIII.	Implant Services	D6000-D6199
IX.	Prosthodontics, fixed	D6200-D6999
X.	Oral and Maxillofacial Surgery	D7000-D7999
XI.	Orthodontics	D8000-D8999
XII.	Adjunctive General Services	D9000-D9999
	Miscellaneous Procedures	T1013

Local anesthesia is considered to be part of the procedure(s) and is not payable separately.

1. **“BY REPORT (BR)” PROCEDURES:**

Procedures that do not have a published fee or that require professional review for validation and/or documentation are indicated in the **Dental Fee Schedule** as “By Report (BR)”.

If a fee needs to be determined, information concerning the nature, extent and need for the procedure or service, the time, the skill and the equipment necessary, must be furnished. Appropriate documentation (e.g., operative report, procedure description, and/or itemized invoices and name/dosage of therapeutic agents) is required. To ensure appropriate payment in the context of current Medicaid fees, bill your usual and customary fee charged to the general public. Claims should only be submitted **AFTER** treatment is completed.

Operative reports must include the following information:

- a. Diagnosis;
- b. Size, location and number of lesion(s) or procedure(s) where appropriate;
- c. Major surgical procedure and supplementary procedure(s);
- d. Whenever possible, list the nearest similar procedure by code number;
- e. Estimated follow-up period;
- f. Operative time.

If documentation needs to be submitted in support of any “By Report (BR)” procedure, the claim **MUST** be submitted on a **paper claim form ‘A’** with the documentation as an attachment. Only an original claim form ‘A’ is acceptable (no copies). Attachments (including printouts of radiographic images) must be on paper the same size and weight as the claim form, without “white out”, rips, ragged edges or red ink.

**DO NOT SEND RADIOGRAPHIC IMAGES AS A CLAIM ATTACHMENT
UNLESS THEY ARE IN THE APPROPRIATE PAPER FORMAT.**

If radiographs are needed and they cannot be submitted as an attachment to the paper claim, they will be requested separately to be submitted directly to the reviewing unit.

Claim form ‘A’ can be obtained from CSC by calling (800) 343-9000.

The DOH reserves the right to pend any claim(s) for review prior to payment without notification.

2. **DENTAL SITE IDENTIFICATION:**

Certain procedure codes require specification of surface, tooth, quadrant or arch when billing. These specifications are indicated after the procedure code description by the following abbreviations:

- Specify surface: (SURF)
- Specify tooth: (TOOTH)
- Specify quadrant: (QUAD)
- Specify arch: (ARCH)

Refer to CDT or the appropriate appendix of this Manual for valid values. When more than one specification is required, both specifications are included, for example: (SURF/TOOTH).

**Only the dental site information required should be provided.
Prior approval requests and/or claims may be rejected
if extraneous or incorrect site information is included.**

Supernumerary Teeth:

Refer to CDT.

**“Unspecified” procedure codes at the end of each
section should not be used for supernumerary teeth.**

3. "ESSENTIAL" SERVICES:

When reviewing requests for services the following guidelines will be used: Treatment will not be routinely approved when functional replacement with less costly restorative materials, including prosthetic replacement, is possible.

Caries index, periodontal status, recipient compliance, dental history, medical history and the overall status and prognosis of the entire dentition, among other factors, will be taken into consideration. Treatment is not considered appropriate when the prognosis of the tooth is questionable or when a reasonable alternative course of treatment would be extraction of the tooth and replacement. Treatment such as endodontics or crowns will not be approved in association with an existing or proposed prosthesis in the same arch, unless the tooth is a critical abutment for a prosthesis provided through the NYS Medicaid program, or unless replacement by addition to an existing prosthesis or new prosthesis is not feasible. If the total number of teeth which require, or are likely to require treatment would be considered excessive or when maintenance of the tooth is not considered essential or appropriate in view of the overall dental status of the recipient, treatment will not be covered. Treatment of deciduous teeth when exfoliation is reasonably imminent will not be routinely reimbursable. Claims submitted for the treatment of deciduous cuspids and molars for children ten (10) years of age or older, or for deciduous incisors in children five (5) years of age or older will be pended for professional review. As a condition for payment, it may be necessary to submit, upon request, radiographic images and other information to support the appropriateness and necessity of these restorations. Extraction of deciduous teeth will only be reimbursed if injection of a local anesthetic is required.

Eight (8) posterior natural or prosthetic teeth (molars and/or bicuspid) in occlusion (four (4) maxillary and four (4) mandibular teeth in functional contact with each other) will be considered adequate for functional purposes. Requests will be reviewed for necessity based upon the presence/absence of eight (8) points of natural or prosthetic occlusal contact in the mouth (bicuspid/molar contact).

One (1) missing maxillary anterior tooth or two (2) missing mandibular anterior teeth may be considered an esthetic problem that warrants a prosthetic replacement.

4. INTERRUPTED TREATMENT:

Claims must be submitted when the product or service is **completed and delivered** to the recipient with the appropriate procedure code using the date that the service is actually completed and delivered as the date of service.

However, in those cases involving multiple appointments to complete the service or product, and the service or product cannot be completed or delivered, or the recipient loses eligibility prior to the completion of the service or delivery of the

product, then the appropriate billing code listed below may be used with the date of the "decisive appointment" as the date of service.

If the "decisive appointment" (listed below) has not been met, or the recipient was not eligible on the date of the "decisive appointment", no compensation is available.

Medicaid Fee-For-Service Providers:

The "billing code" in the chart below can be used with the date of the "decisive appointment" as the date of service if:

- The service is completed and delivered, but the recipient lost fee-for-service Medicaid eligibility after the date of the "decisive appointment" (e.g. lost Medicaid entirely or was switched to a managed care plan) but prior to the date of delivery; or,
- The service is NOT completed and delivered (e.g. recipient died, detained for an indefinite period, moved away, etc.) after the date of the decisive appointment. It must be documented that every reasonable attempt was made to complete and deliver the service.

All claims submitted using the interrupted treatment billing codes will be pended for manual review. Payment in full may be considered if the supporting documentation demonstrates that the service was completed and delivered.

Payment, either in full or pro-rated, may be considered if the service is NOT completed and delivered. The amount of compensation will be determined based on the documentation provided.

Managed Care Plans:

All Medicaid Managed Care plans, and Family Health Plus plans offering dental services, must continue to cover any remaining treatments required to complete the procedures listed below if a managed care enrollee is disenrolled from the plan for any reason (including, but not limited to, losing Medicaid eligibility, transferring to another plan or voluntary disenrollment) after a decisive appointment. Such coverage is required even if the member does not qualify for guaranteed eligibility.

<u>Type of Service</u>	<u>Approved Multiple Visit Procedures</u>	<u>Billing Code</u>	<u>Decisive Appointment</u>
Space Maintainers	D1510, D1515	D0999	Tooth preparation
Crowns	D2710-D2792 D2952	D2999	Tooth preparation or final post pattern fabrication and final impression
Root Canal Therapy	D3310-D3348	D3999	Pulp extirpation or debridement to at least the apical 1/3 of all canals
Complete Dentures	D5110-D5120	D5899	Final impression
Partial Dentures	D5211-D5214	D5899	Final impression
Denture Repair	D5510-D5660	D5899	Acceptance of the prosthesis for repair

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Denture Rebase or Relining	D5710-D5721 D5750-D5761	D5899	Final impression
Other Prosthetic Services	D5820-D5821	D5899	Final impression
Maxillofacial Prosthetics	D5911-D5988	D5999	Final impression
Fixed Prosthetics	D6210-D6252 D6545-D6792	D6999	Preparation and impression of all abutment teeth
Other Fixed Prosthetic Services	D6970	D6999	Final post pattern fabrication
Orthodontic Retention	D8680	D8999	Final impression
Occlusal Guards	D9940	D9999	Final impression

CODE

DESCRIPTION

I. DIAGNOSTIC D0100 - D0999

CLINICAL ORAL EVALUATIONS

The codes in this section recognize the cognitive skills necessary for patient evaluation. The collection and recording of some data and components of the dental examination may be delegated; however, the evaluation, which includes diagnosis and treatment planning, is the responsibility of the dentist. As with all ADA procedure codes, there is no distinction made between the evaluations provided by general practitioners and specialists. Report additional diagnostic and/or definitive procedures separately.

Includes charting, history, treatment plan, and completion of forms.

D0120 Periodic oral evaluation - established patient

Recall dental examinations shall be limited to one per six-month period and shall include charting and history necessary to update and supplement initial oral examination data.

D0140 Limited oral evaluation - problem focused

Not used in conjunction with a regular appointment. Cannot be billed with any other evaluation procedure, including but not limited to D9310 and D9430. Not intended for follow-up care.

D0145 Oral evaluation for a patient under three years of age and counseling with primary caregiver

Diagnostic services performed for a child under the age of three, preferably within the first six months of the eruption of the first primary tooth, including recording the oral and physical health history, evaluation of caries susceptibility, development of an appropriate preventive oral health regimen and communication with and counseling of the child's parent, legal guardian and/or primary caregiver.

D0150 Comprehensive oral evaluation – new or established patient

Can only be billed once per provider-recipient relationship.

D0160 Detailed and extensive oral evaluation - problem focused, by report

This procedure will not be reimbursed if performed within ninety days of a consultation or any other evaluation by the same provider.

<u>CODE</u>	<u>DESCRIPTION</u>
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DIAGNOSTIC IMAGING

Should be taken only for clinical reasons as determined by the patient's dentist. Should be of diagnostic quality and properly identified and dated.

If you are ordering a CT, CTA, MRI, MRA, Cardiac Nuclear, or PET procedure, you or your office staff are required to obtain an approval number through the radiology prior approval program. For additional information refer to:

<http://www.emedny.org/ProviderManuals/Radiology/index.html>

Note: The radiology prior approval program does not include cone beam ct billed with 'D' codes. For more information please see the information below.

Image Capture with Interpretation

D0210 Intraoral - complete series of radiographic images

Minimum of 14 periapical radiographic images and posterior bitewing images. Reimbursable every three years if clinically indicated. A provider will not be reimbursed for an intraoral complete series prior to the complete eruption of a recipient's permanent second molars. Exceptions may be situations including orthodontic consultation, juvenile periodontitis, and other suspected, extensive pathological conditions, which require documentation that should accompany a claim as an attachment. An attachment should contain the clinical findings including the nature and complexity of the recipient's condition indicating that additional radiographic images would have high probability of affecting the diagnosis and treatment of a clinical problem.

D0220 Intraoral - periapical first radiographic image

To be billed only for the first periapical image when **ONLY** periapical images are taken and cannot be used in conjunction with any other type of images on the same date of service (e.g. bitewing, occlusal, panoramic etc.). See procedure code D0230 when images other than periapical are taken.

D0230 Intraoral - periapical each additional radiographic image

When periapical images are taken in conjunction with bitewing(s), occlusal or panoramic images, use procedure code D0230 for **ALL** periapical images including the first periapical image.

The total fee for ALL intraoral radiographic images (including the first periapical image) may not exceed the total fee allowed for a complete intraoral series.

D0240 Intraoral - occlusal radiographic image (ARCH)

One maxillary and one mandibular radiographic image are allowed within three years. May be supplemented by necessary intraoral periapical or bitewing images.

D0250 Extraoral - first radiographic image

Not reimbursable for temporomandibular joint images.

D0260 Extraoral - each additional radiographic image

Maximum of two images.

Not reimbursable for temporomandibular joint images.

<u>CODE</u>	<u>DESCRIPTION</u>
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Bitewings are allowed no more than once in six months for each recipient.

The procedure code is an indication of the number of images performed. Leave the "Times Performed" on the claim form blank or enter "1".

D0270 **Bitewing – single radiographic image**

D0272 **Bitewing – two radiographic images**

D0273 **Bitewing – three radiographic images**

D0274 **Bitewing – four radiographic images**

D0290 **Posterior-anterior or lateral skull and facial bone survey radiographic image**

3 images minimum. Reimbursement is limited to enrolled orthodontists or oral and maxillofacial surgeons in conjunction with surgical treatment. Not to be used in place of, or in conjunction with, D0340 unless surgical case. Documentation of necessity must be submitted with claim.

D0310 **Sialography**

D0320 **Temporomandibular joint arthrogram, including injection**

D0321 **Other temporomandibular joint radiographic images** (per joint)

D0330 **Panoramic radiographic image**

Reimbursable every three years if clinically indicated. For use in routine caries determination, diagnosis of periapical or periodontal pathology **only** when supplemented by other necessary radiographic intraoral images (bitewing and/or periapical), completely edentulous cases, diagnosis of impacted teeth, oral surgery treatment planning, or diagnosis of children with mixed dentition.

Postoperative panoramic images are reimbursable for post-surgical evaluation of fractures, dislocations, orthognathic surgery, osteomyelitis, or removal of unusually large and/or complex cysts or neoplasms. Panoramic radiographic images are not required or reimbursable for post orthodontic documentation.

Panoramic images are **not** reimbursable when an intraoral complete series or panoramic image has been taken within **three years**, except for the diagnosis of a new condition (e.g. traumatic injury).

D0340 **Cephalometric radiographic image**

Reimbursable every three years if clinically indicated. Reimbursement is limited to enrolled orthodontists or oral and maxillofacial surgeons for the diagnosing and treatment of a physically handicapping malocclusion. Cephalometric images are not required by the DOH for routine post-orthodontic documentation and are not routinely reimbursable. A tracing and analysis is **required** and is not payable separately. Use D0250 if a tracing and analysis is not performed.

D0350 **Oral/facial photographic images (includes intra and extraoral images)**

This includes photographic images, including those obtained by intraoral and extraoral cameras. These images should be a part of the recipient's clinical record. Reimbursement is limited to enrolled orthodontists or oral and maxillofacial surgeons.

CODE

DESCRIPTION

D0367 Cone beam CT capture and interpretation with field of view of both jaws; with or without cranium

Includes axial, coronal and sagittal data.

Includes all interpretation.

Reimbursement is limited to enrolled oral and maxillofacial surgeons once per five (5) years in an **office-based setting**. There is no professional reimbursement for facility place of service. Facility reimbursement is through APG.

A panoramic radiograph (D0330) or similar film, along with documentation of medical necessity, must be submitted with requests for prior approval.

Approval is limited to those cases demonstrating significant risk for a complication such as nerve injury or jaw fracture as well as pathology or trauma workups.

D0470 Diagnostic casts

Reimbursement is limited to enrolled orthodontists or oral and maxillofacial surgeons. Includes both arches when necessary.

Oral Pathology Laboratory

These are procedures generally performed in a pathology laboratory and do not include the removal of the tissue sample from the patient. For removal of tissue sample, see codes D7285 and D7286.

Reimbursement for procedure codes D0470, D0485 and D0502 are limited to enrolled Oral Pathologists.

D0474 Accession of tissue, gross and microscopic examination, including assessment of surgical margins for presence of disease, preparation and transmission of written report.

D0485 Consultation, including preparation of slides from biopsy material supplied by referring source

D0502 Other oral pathology procedures, by report

D0999 Unspecified diagnostic procedure, by report

CODE

DESCRIPTION

II. PREVENTIVE D1000 - D1999

DENTAL PROPHYLAXIS

Dental prophylaxis is reimbursable in addition to an initial dental examination and recall examinations, once per six-month period. Cannot be used in conjunction with periodontal maintenance (D4910). Once D4910 is used, then **ONLY** D4910 can be used. Cannot be used in conjunction with D4341 on the same date of service.

D1110 Prophylaxis – adult

For beneficiaries 13 years of age and older.

D1120 Prophylaxis – child

For beneficiaries under 13 years of age.

TOPICAL FLUORIDE TREATMENT (OFFICE PROCEDURE)

Topical fluoride treatment is reimbursable once per six-month period when professionally administered in accordance with appropriate standards. Acidulated fluoride gel applications must remain in contact with the tooth surfaces for a minimum of three (3) minutes. Fluoride treatments that are not reimbursable under the program include treatments that incorporate fluoride with prophylaxis paste, topical application of fluoride to the prepared portion of a tooth prior to restoration, and applications of aqueous sodium fluoride.

D1206 Topical application of fluoride varnish

Reimbursable four times per year to dentists, physicians and nurse practitioners for beneficiaries up to and including six years of age.

Among the risk factors that should be considered are: caries rate of the individual; presence of exogenous fluoride; caries rate of parents and/or siblings; oral hygiene of the recipient as well as parent(s) and/or siblings etc..

Not to be used for desensitization.

D1208 Topical application of fluoride

Prophylaxis not included. For individuals 21 years of age and older D1208 is only approvable for those individuals identified with a recipient exception code of RE 81 (“TBI Eligible”) or RE 95 (“OMRDD/Managed Care Exemption”), or, in cases where salivary gland function has been compromised through surgery or radiation.

<u>CODE</u>	<u>DESCRIPTION</u>
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OTHER PREVENTIVE SERVICES

D1351 **# Sealant – per tooth (TOOTH)**

Refer to the “Prior Approval / Prior Authorization Requirements” section for use of DVS

Application of sealant is restricted to previously unrestored permanent first and second molars that exhibit no signs of occlusal or proximal caries for beneficiaries **between 5 and 15 years of age** (inclusive). **Buccal and lingual grooves are included in the fee.** The use of opaque or tinted sealant is recommended for ease of checking bond efficacy. Reapplication, if necessary, is permitted **once every five (5) years.**

SPACE MAINTENANCE (PASSIVE APPLIANCES)

Only fixed appliances are reimbursable. Documentation including pre-treatment images to justify all space maintenance appliances must be available upon request. Space maintenance should not be provided as an isolated service. All carious teeth must be restored before placement of any space maintainer. The recipient should be practicing a sufficient level of oral hygiene to ensure that the space maintainer will not become a source of further carious breakdown of the dentition. All permanent teeth in the area of space maintenance should be present and developing normally.

Space maintenance in the deciduous dentition (defined as prior to the interdigitation of the first permanent molars) can generally be considered.

Space maintenance in the mixed dentition initiated within one month of the necessary extraction will be reimbursable on an individual basis. Space maintenance in the mixed dentition initiated more than one month after the necessary extraction, with minimum space loss apparent, may be reimbursable.

D1510 **Space maintainer – fixed - unilateral (QUAD)**

D1515 **Space maintainer – fixed - bilateral (ARCH)**

D1550 **Recementation of space maintainer**

CODE

DESCRIPTION

III. RESTORATIVE D2000 - D2999

The maximum fee for restoring a tooth with either amalgam or composite resin material will be the fee allowed for placement of a four-surface restoration. With the exception of the placement of reinforcement pins (use code D2951), fees for amalgam and composite restorations include tooth preparation, all adhesives (including amalgam and composite bonding agents), acid etching, cavity liners, bases, curing and pulp capping.

Restorations placed solely for abrasion or attrition and not associated with the treatment of any other pathology are beyond the scope of the program and will not be reimbursed.

For codes D2140, D2330 and D2391, only a single restoration will be reimbursable per surface. Occlusal surface restorations including all occlusal pits and fissures will be reimbursed as one-surface restorations whether or not the transverse ridge of an upper molar is left intact. Codes D2150, D2160, D2161, D2331, D2332, D2335, D2781, D2392, D2393, and D2394 are compound restorations encompassing 2, 3, 4 or more contiguous surfaces.

AMALGAM RESTORATIONS (INCLUDING POLISHING)

- D2140 **Amalgam - one surface, primary or permanent (SURF/TOOTH)**
- D2150 **Amalgam - two surfaces, primary or permanent (SURF/TOOTH)**
- D2160 **Amalgam - three surfaces, primary or permanent (SURF/TOOTH)**
- D2161 **Amalgam - four or more surfaces, primary or permanent (SURF/TOOTH)**

RESIN-BASED COMPOSITE-RESTORATIONS DIRECT

- D2330 **Resin-based composite - one surface, anterior (SURF/TOOTH)**
- D2331 **Resin-based composite - two surfaces, anterior (SURF/TOOTH)**
- D2332 **Resin-based composite - three surfaces, anterior (SURF/TOOTH)**
- D2335 **Resin-based composite - four or more surfaces or involving incisal angle (anterior) (SURF/TOOTH)**
- D2390 **Resin-based composite crown, anterior (TOOTH)**
- D2391 **Resin-based composite; one surface, posterior (SURF/TOOTH)**
Used to restore a carious lesion into the dentin or a deeply eroded area into the dentin. Not a preventive procedure.
- D2392 **Resin-based composite - two surfaces, posterior (SURF/TOOTH)**
- D2393 **Resin-based composite - three surfaces, posterior (SURF/TOOTH)**
- D2394 **Resin-based composite - four or more surfaces, posterior (SURF/TOOTH)**

<u>CODE</u>	<u>DESCRIPTION</u>
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CROWNS - SINGLE RESTORATIONS ONLY

Crowns will not be routinely approved for a molar tooth in those beneficiaries age 21 and over which has been endodontically treated without prior approval from the Department of Health.

The use of esthetic veneers is at the discretion of the provider as to when they are clinically indicated.

D2710 Crown – resin-based composite (indirect) (laboratory) (TOOTH)
 Acrylic (processed) jacket crowns may be approved as restorations for severely fractured anterior teeth.

- D2720 Crown – resin with high noble metal (TOOTH)**
- D2721 Crown – resin with predominantly base metal (TOOTH)**
- D2722 Crown – resin with noble metal (TOOTH)**
- D2740 Crown - porcelain/ceramic substrate (TOOTH)**
- D2750 Crown – porcelain fused to high noble metal (TOOTH)**
- D2751 Crown – porcelain fused to predominately base metal (TOOTH)**
- D2752 Crown – porcelain fused to noble metal (TOOTH)**
- D2780 Crown – ¾ cast high noble metal (TOOTH)**
- D2781 Crown – ¾ cast predominantly base metal(TOOTH)**
- D2782 Crown – ¾ cast noble metal (TOOTH)**
- D2790 Crown – full cast high noble metal (TOOTH)**
- D2791 Crown – full cast predominately base metal (TOOTH)**
- D2792 Crown – full cast noble metal (TOOTH)**

OTHER RESTORATIVE SERVICES

D2920 Recement crown (TOOTH)
 Claims for recementation of a crown by the original provider within one year of placement, or claims for subsequent recementations of the same crown, will be pended for professional review. Documentation to justify the need and appropriateness of such recementations may be required as a condition for payment.

D2930 Prefabricated stainless steel crown - primary tooth (TOOTH)
 The provider must have available adequate radiographic imaging evidence as justification for the use of a stainless steel crown, or other documentation if images do not demonstrate the need for a stainless steel crown in a particular case.

D2931 Prefabricated stainless steel crown - permanent tooth (TOOTH)

<u>CODE</u>	<u>DESCRIPTION</u>
D2932	Prefabricated resin crown (TOOTH) Must encompass the complete clinical crown and should be utilized with the same criteria as for full crown construction. This procedure is limited to one occurrence per tooth within two years. If replacement becomes necessary during that time, claims submitted will be pended for professional review. To justify the appropriateness of replacements, documentation must be included as a claim attachment. Placement on deciduous anterior teeth is generally not reimbursable past the age of five (5) years of age.
D2933	Prefabricated stainless steel crown with window (TOOTH) Restricted to primary anterior teeth, bicuspid and maxillary first molars.
D2934	Prefabricated esthetic coated stainless steel crown – primary tooth (TOOTH)
D2951	Pin retention - per tooth, in addition to restoration (TOOTH) Reimbursement is allowed once per tooth regardless of the number of pins placed.
D2952	Post and core in addition to crown, indirectly fabricated (TOOTH)
D2954	Prefabricated post and core in addition to crown (TOOTH) There is no separate reimbursement for the core material.
D2955	Post removal (TOOTH)
D2980	Crown repair necessitated by restorative material failure (TOOTH)
D2999	Unspecified restorative procedure, by report

CODE

DESCRIPTION

IV. ENDODONTICS D3000 - D3999

All radiographic images taken during the course of root canal therapy and all post-treatment radiographic images are included in the fee for the root canal procedure. At least one pre-treatment radiographic image demonstrating the need for the procedure, and one post-treatment radiographic image that demonstrates the result of the treatment, must be maintained in the recipient's record.

Surgical root canal treatment or apicoectomy may be considered appropriate and covered when the root canal system cannot be acceptably treated non-surgically, there is active root resorption, or access to the canal is obstructed. Treatment may also be covered where there is gross over or under extension of the root canal filling, periapical or lateral pathosis persists, or there is a fracture of the root.

Pulp capping, either direct or indirect, is not reimbursable.

Molar endodontic treatment, retreatment or apical surgery is not approvable as a routine procedure. Prior approval requests will be considered for beneficiaries under age 21 who display good oral hygiene, have healthy mouths with a full complement of natural teeth with a low caries index and/or who may be undergoing orthodontic treatment. In those beneficiaries age 21 and over, molar endodontic therapy will be considered only in those instances where the tooth in question is a critical abutment for an existing functional prosthesis and when the tooth cannot be extracted and replaced with a new prosthesis.

PULPOTOMY

D3220 Therapeutic pulpotomy (excluding final restoration)- removal of pulp coronal to the dentinocemental junction and application of medicament (TOOTH)

To be performed on primary or permanent teeth **up until the age of 21 years.**

This is not to be considered as the first stage of root canal therapy.

Pulp capping (placement of protective dressing or cement over exposed or nearly exposed pulp for protection from injury or as an aid in healing and repair) is not reimbursable.

This procedure code may not be used when billing for an "emergency pulpotomy", which should be billed as palliative treatment.

<u>CODE</u>	<u>DESCRIPTION</u>
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ENDODONTIC THERAPY ON PRIMARY TEETH

Endodontic therapy on primary teeth with succedaneous teeth and placement of resorbable filling. This includes pulpectomy, cleaning, and filling of canals with resorbable material.

- D3230 Pulpal therapy (resorbable filling) – anterior, primary tooth (excluding final restoration) (TOOTH)**
- D3240 Pulpal therapy (resorbable filling) – posterior, primary tooth (excluding final restoration) (TOOTH)**

ENDODONTIC THERAPY (INCLUDING TREATMENT PLAN, CLINICAL PROCEDURES AND FOLLOW-UP CARE)

Includes primary teeth without succedaneous teeth and permanent teeth.

- D3310 Endodontic therapy – anterior tooth (excluding final restoration) (TOOTH)**
- D3320 Endodontic therapy – bicuspid tooth (excluding final restoration) (TOOTH)**
- D3330 Endodontic therapy – molar (excluding final restoration) (TOOTH)**

ENDODONTIC RETREATMENT

- D3346 Retreatment of previous root canal therapy - anterior (TOOTH)**
- D3347 Retreatment of previous root canal therapy - bicuspid (TOOTH)**
- D3348 Retreatment of previous root canal therapy - molar (TOOTH)**

APEXIFICATION / RECALCIFICATION PROCEDURES

- D3351 Apexification / recalcification - initial visit (apical closure/calcific repair of perforations, root resorption, etc.) (TOOTH)**
Includes opening tooth, pulpectomy, preparation of canal spaces, first placement of medication and necessary radiographic images.
(This procedure includes first phase of complete root canal therapy.)
- D3352 Apexification / recalcification - interim medication replacement (apical closure/calcific repair of perforations, root resorption, etc.) (TOOTH)**
For visits in which the intra-canal medication is replaced with new medication. Includes any necessary radiographs.
There may be several of these visits.
The published fee is the maximum reimbursable amount regardless of the number of visits.
- D3353 Apexification / recalcification - final visit (apical closure/calcific repair of perforations, root resorption, etc.) (TOOTH)**
Includes the removal of intra-canal medication and procedures necessary to place final root canal filling material including necessary images.
(This procedure includes last phase of complete root canal therapy.)

CODE

DESCRIPTION

APICOECTOMY / PERIRADICULAR SERVICES

Periradicular surgery is a term used to describe surgery to the root surface (e.g., apicoectomy), repair of a root perforation or restorative defect, exploratory curettage to look for root fractures, removal of extruded filling materials or instruments, removal of broken root fragments, sealing of accessory canals, etc. This does not include retrograde filling material placement. Performed as a separate surgical procedure and includes periapical curettage.

D3410 **Apicoectomy / periradicular surgery - anterior (TOOTH)**

D3421 **Apicoectomy / periradicular surgery - bicuspid (first root) (TOOTH)**

If more than one root is treated, see D3426.

D3425 **Apicoectomy / periradicular surgery - molar (first root) (TOOTH)**

If more than one root is treated, see D3426.

D3426 **Apicoectomy / periradicular surgery - each additional root (TOOTH)**

D3430 **Retrograde filling - per root (TOOTH)**

OTHER ENDODONTIC PROCEDURES

D3999 **Unspecified endodontic procedure, by report**

CODE

DESCRIPTION

V. PERIODONTICS D4000 - D4999

SURGICAL SERVICES (INCLUDING USUAL POST-OPERATIVE CARE)

Reimbursable solely for the correction of severe hyperplasia or hypertrophy associated with drug therapy, hormonal disturbances or congenital defects. The provider must submit documentation of the need for this treatment as an attachment to a paper claim.

D4210 **Gingivectomy or gingivoplasty – four or more contiguous teeth or tooth bounded spaces per quadrant (QUAD)**

D4211 **Gingivectomy or gingivoplasty – one to three contiguous teeth or tooth bounded spaces per quadrant (QUAD)**

NON-SURGICAL PERIODONTAL SERVICES

D4341 and D4342 may be billed for those beneficiaries who have periodontal pockets and sub-gingival accretions on cemental surfaces in the quadrant(s) being treated. Reimbursement for D4341 and/or D4342 is limited to no more than **two quadrants** on a single date of service with no more than **four different quadrant reimbursements within a two-year period**. Prophylaxis or periodontal maintenance (D1110, D1120 or D4910) **will not** be reimbursed on the same date of service. Prior approval may be requested for more frequent treatment. The provider must keep on file documentation of the need for periodontal scaling and root planing, including a copy of the pre-treatment evaluation of the periodontium, a general description of the tissues (e.g., color, shape, and consistency), the location and measurement of periodontal pockets, the description of the type and amount of bone loss, the periodontal diagnosis, the amount and location of subgingival calculus deposits, and tooth mobility.

D4341 **Periodontal scaling and root planing – four or more teeth per quadrant (QUAD)**

D4342 **Periodontal scaling and root planing – one to three teeth per quadrant (QUAD)**

OTHER PERIODONTIC SERVICES

D4910 **Periodontal Maintenance**

This procedure is for beneficiaries who have previously been treated for periodontal disease with procedures such as scaling and root planing (D4341 or D4342). D4910 cannot be used in conjunction with, or billed within six (6) months of any other prophylaxis procedure (e.g. D1110). Once periodontal maintenance is initiated, this is the **ONLY** prophylaxis procedure which will be allowed. Reimbursement for D4910 is limited to twice per year and cannot be used in conjunction with D4341 or D4342 on the same date of service.

D4999 **Unspecified periodontal procedure, by report**

CODE**DESCRIPTION****VI. PROSTHODONTICS (Removable) D5000 - D5899**

Full and/or partial dentures are covered when they are required to alleviate a serious health condition or one that affects employability. Complete dentures and partial dentures will not be replaced for a minimum of eight (8) years from initial placement except when they become unserviceable through trauma, disease or extensive physiological change. Prior approval requests for replacements will not be reviewed without supporting documentation of medical necessity. Dentures which are lost, stolen or broken will not be replaced.

General Guidelines for All Removable Prosthesis:

- Complete and/or partial dentures will be approved only when the existing prosthesis is not serviceable or cannot be relined or rebased. Reline or rebase of an existing prosthesis will not be reimbursed when such procedures are performed in addition to a new prosthesis for the same arch within 6 months of the delivery of a new prosthesis. Only "tissue conditioning" (D5850 or D5851) is payable within six (6) months prior to the delivery of a new prosthesis;
- All prosthetic appliances, including denture duplication, rebasing and relining procedures, include six (6) months of post-delivery care;
- Cleaning of removable prosthesis or soft tissue not directly related to natural teeth is not a covered service. Prophylaxis and/or scaling and root planing is only payable when performed on natural dentition or abutments for fixed prosthesis;
- "Immediate" prosthetic appliances are not a covered service. It is expected that tissues will be allowed to heal for a minimum of four (4) to six (6) weeks prior to taking the final impression(s);
- The use of dental implants and implant related prosthetic services are beyond the scope of the program;
- Claims are not to be submitted until the denture(s) are completed and delivered to the recipient. The "date of service" used on the claim is the date that the denture(s) are delivered. If the prosthesis cannot be delivered or the recipient has lost eligibility following the date of the "decisive appointment", claims should be submitted following the guidelines for "Interrupted Treatment";
- Medicaid payment is considered payment in-full. Except for beneficiaries with a "spend down," beneficiaries cannot be charged beyond the Medicaid fee. Deposits, down-payments or advance payments are prohibited;
- All treatment notes, radiographic images, laboratory prescriptions and laboratory invoices should be made part of the recipient's treatment record to be made available upon request in support of any treatment provided;

The total cost of repairs should not be excessive and generally should not

<u>CODE</u>	<u>DESCRIPTION</u>
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exceed 50% of the cost of a new prosthesis. If the total cost of repairs is to exceed 50% of the cost of a new prosthesis, a prior approval request for a new prosthesis should be submitted with a detailed description of the existing prosthesis and why any replacement would be necessary per Medicaid guidelines and would be more appropriate than repair of the existing prosthesis.

COMPLETE DENTURES (INCLUDING ROUTINE POST-DELIVERY CARE)

Where authorization is received via DVS, appropriate documentation warranting provision of the denture(s) must be maintained in the recipient's record and made available upon request.

Radiographs are not routinely required to obtain prior approval for full dentures. The guidelines published by the ADA and the U.S. Department of Health and Human Services on the use of x-rays should be followed.

- D5110 **Complete denture – maxillary**
- D5120 **Complete denture – mandibular**

PARTIAL DENTURES (INCLUDING ROUTINE POST-DELIVERY CARE)

Requirements for the placement of partial dentures are:

- All phase I restorative treatment which includes extractions, removal of all decay and restoration with permanent filling materials, endodontic therapy, crowns, etc. must be completed prior to taking the final impression(s) for partial denture(s).
- Reimbursement for removable partial dentures includes a minimum of two clasps. The total number of clasps is dictated by the retentive requirements of each case, with no additional payment for necessary supplemental clasps;
- Use of flexible base partial dentures (e.g., "flexiplast" or similar materials) is not a covered service;
- Partial dentures can be considered for ages 15 years and above; an "Interim Prosthesis" (procedure codes D5820 and/or D5821) can be considered for individuals 5 to 15 years of age.

- D5211 **Maxillary partial denture - resin base (including any conventional clasps, rests and teeth)**
- D5212 **Mandibular partial denture - resin base (including any conventional clasps, rests and teeth)**
- D5213 **Maxillary partial denture - cast metal framework with resin denture bases (including any conventional clasps, rests and teeth)**
- D5214 **Mandibular partial denture - cast metal framework with resin denture bases (including any conventional clasps, rests and teeth)**

<u>CODE</u>	<u>DESCRIPTION</u>
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ADJUSTMENTS TO DENTURES

Adjustments within 6 months of the delivery of the prosthesis are considered part of the payment for prosthesis.

- D5410 **Adjust complete denture - maxillary**
- D5411 **Adjust complete denture - mandibular**
- D5421 **Adjust partial denture - maxillary**
- D5422 **Adjust partial denture - mandibular**

REPAIRS TO COMPLETE DENTURES

- D5510 **Repair broken complete denture base (ARCH)**
- D5520 **Replace missing or broken teeth - complete denture (each tooth) (TOOTH)**

REPAIRS TO PARTIAL DENTURES

- D5610 **Repair resin denture base (QUAD)**
- D5620 **Repair cast framework (ARCH)**
- D5630 **Repair or replace broken clasp (TOOTH)**
- D5640 **Replace broken teeth - per tooth (TOOTH)**
- D5650 **Add tooth to existing partial denture (TOOTH)**
- D5660 **Add clasp to existing partial denture (TOOTH)**

DENTURE REBASE PROCEDURES

Only "tissue conditioning" (D5850 and D5851) is payable within six months prior to the delivery of a new prosthesis.

- D5710 **Rebase - complete maxillary denture**
- D5711 **Rebase - complete mandibular denture**
- D5720 **Rebase - maxillary partial denture**
- D5721 **Rebase - mandibular partial denture**

DENTURE RELINE PROCEDURES

For cases in which it is impractical to complete a laboratory reline, prior approval for an office ("chairside" or "cold cure") reline may be requested with credible documentation which would preclude a laboratory reline. Only "tissue conditioning" (D5850 and D5851) is payable within six months prior to the delivery of a new prosthesis.

- D5730 **Reline complete maxillary denture (chairside)**
- D5731 **Reline complete mandibular denture (chairside)**
- D5740 **Reline maxillary partial denture (chairside)**
- D5741 **Reline mandibular partial denture (chairside)**
- D5750 **Reline complete maxillary denture (laboratory)**
- D5751 **Reline complete mandibular denture (laboratory)**
- D5760 **Reline maxillary partial denture (laboratory)**
- D5761 **Reline mandibular partial denture (laboratory)**

CODE

DESCRIPTION

INTERIM PROSTHESIS

Reimbursement is limited to once per year and only for children between 5 and 15 years of age. Codes D5820 and D5821 are not to be used in lieu of space maintainers. All claims will be pended for professional review prior to payment.

D5820 **Interim partial denture (maxillary)**
D5821 **Interim partial denture (mandibular)**

OTHER REMOVABLE PROSTHETIC SERVICES

Codes D5850 and D5851 are for treatment reline using materials designed to heal unhealthy ridges prior to more definitive final restoration. This is the **ONLY** type of reline reimbursable within six (6) months prior to the delivery of a new prosthesis. Insertion of tissue conditioning liners in existing dentures will be limited to once per denture unit. D5850 and D5851 are not reimbursable under age 15 and should be billed one time at the completion of treatment, regardless of the number of visits involved.

D5850 **Tissue conditioning, maxillary**
D5851 **Tissue conditioning, mandibular**
D5899 **Unspecified removable prosthodontic procedure, by report**

CODE

DESCRIPTION

VII. MAXILLOFACIAL PROSTHETICS D5900 - D5999

D5911	Facial moulage (sectional)
D5912	Facial moulage (complete)
D5913	Nasal prosthesis
D5914	Auricular prosthesis
D5915	Orbital prosthesis
D5916	Ocular prosthesis
D5919	Facial prosthesis
D5922	Nasal septal prosthesis
D5923	Ocular prosthesis, interim
D5924	Cranial prosthesis
D5925	Facial augmentation implant prosthesis
D5926	Nasal prosthesis, replacement
D5927	Auricular prosthesis, replacement
D5928	Orbital prosthesis, replacement
D5929	Facial prosthesis, replacement
D5931	Obturator prosthesis, surgical
D5932	Obturator prosthesis, definitive
D5933	Obturator prosthesis, modification
D5934	Mandibular resection prosthesis with guide flange
D5935	Mandibular resection prosthesis without guide flange
D5936	Obturator prosthesis, interim
D5937	Trismus appliance (not for TMD treatment)
D5951	Feeding aid
D5952	Speech aid prosthesis, pediatric
D5953	Speech aid prosthesis, adult
D5954	Palatal augmentation prosthesis
D5955	Palatal lift prosthesis, definitive
D5958	Palatal lift prosthesis, interim
D5959	Palatal lift prosthesis, modification
D5960	Speech aid prosthesis, modification
D5982	Surgical stent
D5983	Radiation carrier
D5984	Radiation shield
D5985	Radiation cone locator
D5986	Fluoride gel carrier (per arch)(ARCH)
D5987	Commissure splint
D5988	Surgical splint
D5999	Unspecified maxillofacial prosthesis, by report

CODE

DESCRIPTION

VIII. IMPLANT SERVICES D6000 - D6199

Implant services and all related services are considered beyond the scope of the NYS Medicaid program.

<u>CODE</u>	<u>DESCRIPTION</u>
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IX. PROSTHODONTICS, FIXED D6200 - D6999

Fixed bridgework is generally considered beyond the scope of the NYS Medicaid program. The fabrication of any fixed bridge may be considered only for beneficiaries with no recent caries activity (no initial restorations placed during the past year), no unrestored carious lesions, no significant periodontal bone loss in the same arch **and** no posterior tooth loss with replaceable space in the same arch. The replacement of a missing tooth or teeth with a fixed partial denture will not be approved under the Medicaid program when either no replacement or replacement with a removable partial denture could be considered appropriate based on Medicaid prosthetic guidelines. The fabrication of fixed and removable partial dentures in the same arch or the use of double abutments will not be approved.

The placement of a fixed prosthetic appliance will only be considered for the anterior segment of the mouth in those exceptional cases where there is a documented physical or neurological disorder that would preclude placement of a removable prosthesis, or in those cases requiring cleft palate stabilization. In cases other than for cleft palate stabilization, treatment would generally be limited to replacement of a single maxillary anterior tooth or replacement of two adjacent mandibular teeth.

For a recipient under the age of 21 or one whose pulpal anatomy precludes crown preparation of abutments without pulp exposure, acid etched cast bonded bridges (“Maryland Bridges”) may be approved only for the replacement of a single missing maxillary anterior tooth, two adjacent missing maxillary anterior teeth, or two adjacent missing mandibular incisors. The same guidelines as previously listed apply. Abutments for resin bonded fixed partial dentures (i.e. “Maryland Bridges”) should be billed using code D6545 and pontics using code D6251.

The use of esthetic veneers is at the discretion of the provider as to when they are clinically indicated.

FIXED PARTIAL DENTURE PONTICS

<u>D6210</u>	Pontic - cast high noble metal (TOOTH)
<u>D6211</u>	Pontic - cast predominately base metal (TOOTH)
<u>D6212</u>	Pontic - cast noble metal (TOOTH)
<u>D6240</u>	Pontic - porcelain fused to high noble metal (TOOTH)
<u>D6241</u>	Pontic - porcelain fused to predominately base metal (TOOTH)
<u>D6242</u>	Pontic - porcelain fused to noble metal (TOOTH)
<u>D6250</u>	Pontic - resin with high noble metal (TOOTH)
<u>D6251</u>	Pontic - resin with predominately base metal (TOOTH)
	Limited to the pontic for resin bonded fixed partial dentures (i.e. “Maryland Bridges”).
<u>D6252</u>	Pontic - resin with noble metal (TOOTH)

<u>CODE</u>	<u>DESCRIPTION</u>
<u>FIXED PARTIAL DENTURE RETAINERS-INLAYS/ONLAYS</u>	
<u>D6545</u>	Retainer - cast metal for resin bonded fixed prosthesis (TOOTH) Limited to abutment for resin bonded fixed partial dentures (i.e. "Maryland Bridges").

FIXED PARTIAL DENTURE RETAINERS - CROWNS

<u>D6720</u>	Crown - resin with high noble metal (TOOTH)
<u>D6721</u>	Crown - resin with predominately base metal (TOOTH)
<u>D6722</u>	Crown - resin with noble metal (TOOTH)
<u>D6750</u>	Crown - porcelain fused to high noble metal (TOOTH)
<u>D6751</u>	Crown - porcelain fused to predominantly base metal (TOOTH)
<u>D6752</u>	Crown - porcelain fused to noble metal (TOOTH)
<u>D6780</u>	Crown - ¾ cast high noble metal (TOOTH)
<u>D6790</u>	Crown - full cast high noble metal (TOOTH)
<u>D6791</u>	Crown - full cast predominantly base metal
<u>D6792</u>	Crown - full cast noble metal (TOOTH)

OTHER FIXED PARTIAL DENTURE SERVICES

D6930	Recement fixed partial denture (QUAD)
D6980	Fixed partial denture repair necessitated by restorative material failure (QUAD) For sectioning of a fixed partial denture, use procedure code D9120.
D6999	Unspecified, fixed prosthodontic procedure, by report

<u>CODE</u>	<u>DESCRIPTION</u>
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X. ORAL AND MAXILLOFACIAL SURGERY D7000 - D7999

All surgical procedures include the surgery and the follow-up care for the period indicated in the “Dental Fee Schedule”. Necessary follow-up care beyond this listed period should be billed using codes D7999, D9110 or D9430.

When multiple surgical procedures are performed on the same quadrant or arch, the claim may be pended for professional review. When extensive multiple surgical procedures are performed at the same operative session, the total reimbursement will be based upon the value of the major procedure plus 50% of the value of the lesser procedure(s). Removal of bilateral tori or bilateral impactions and multiple extractions performed at the same operative session are examples of exceptions due to the independence of the individual procedures.

When a provider performs surgical excision and removal of tumors, cysts and neoplasms, the extent of the procedure claimed must be supported by information in the recipient's record. This includes radiographic images, clinical findings, and operative and histopathologic reports. To expedite review and reimbursement, this material (except radiographs) should be submitted on **paper claims** for procedures that have no established fee and are priced "By Report."

EXTRACTIONS (INCLUDES LOCAL ANESTHESIA, SUTURING, IF NEEDED, AND ROUTINE POSTOPERATIVE CARE)

- D7111 Extraction, coronal remnants – deciduous tooth
- D7140 Extraction, erupted tooth or exposed root (elevation and/or forceps removal) (TOOTH)

SURGICAL EXTRACTIONS (INCLUDES LOCAL ANESTHESIA, SUTURING, IF NEEDED, AND ROUTINE POSTOPERATIVE CARE)

- D7210 Surgical removal of erupted tooth requiring elevation of mucoperiosteal flap and removal of bone and/or sectioning of tooth, and including elevation of mucoperiosteal flap if indicated (TOOTH)
Prior approval is required if performed more than six (6) times within twelve (12) months from the date of the first surgical extraction (D7210).
- D7220 Removal of impacted tooth - soft tissue (TOOTH)
- D7230 Removal of impacted tooth - partially bony (TOOTH)
- D7240 Removal of impacted tooth - completely bony (TOOTH)
- D7241 Removal of impacted tooth - completely bony, with unusual surgical complications (TOOTH)
- D7250 Surgical removal of residual tooth roots (cutting procedure) (TOOTH)

OTHER SURGICAL PROCEDURES

- D7260 Oroantral fistula closure (QUAD 10 or 20)
- D7261 Primary closure of sinus perforation (QUAD 10 or 20)

<u>CODE</u>	<u>DESCRIPTION</u>
D7270	Tooth re-implantation and/or stabilization of accidentally evulsed or displaced tooth (TOOTH)
D7272	Tooth transplantation (includes reimplantation from one site to another and splinting and/or stabilization) (TOOTH)
D7280	Surgical access of an unerupted tooth (TOOTH)
D7283	Placement of device to facilitate eruption of impacted tooth (TOOTH) Report the surgical exposure separately using D7280.
D7285	Biopsy of oral tissue - hard (bone, tooth)
D7286	Biopsy of oral tissue - soft
<u>D7290</u>	Surgical repositioning of teeth (TOOTH)

ALVEOPLASTY - SURGICAL PREPARATION OF RIDGE

D7310	Alveoplasty in conjunction with extractions – four or more teeth or tooth spaces, per quadrant (QUAD) This procedure will be reimbursed when at least four adjacent teeth are removed in the same quadrant, and when additional surgical procedures above and beyond the removal of the teeth are required to prepare the ridge for dentures. Not reimbursable in addition to surgical extractions in the same quadrant. Claims should be submitted on the same invoice as extraction to expedite review.
D7311	Alveoplasty in conjunction with extractions – one to three teeth or tooth spaces, per quadrant (QUAD) This procedure will be reimbursed when one to three adjacent teeth are removed in the same quadrant, and when additional surgical procedures above and beyond the removal of the teeth are required to prepare the ridge for dentures. Not reimbursable in addition to surgical extractions in the same quadrant. Claims should be submitted on the same invoice as extraction to expedite review.
D7320	Alveoplasty not in conjunction with extractions – four or more teeth or tooth spaces, per quadrant (QUAD) The fee for each quadrant includes the recontouring of both osseous and soft tissues in that quadrant. Will not be reimbursed in conjunction with procedure code D7310 in the same quadrant.
D7321	Alveoplasty not in conjunction with extractions – one to three or tooth spaces, per quadrant (QUAD) The fee for each quadrant includes the recontouring of both osseous and soft tissues in that quadrant. Will not be reimbursed in conjunction with procedure code D7311 in the same quadrant.

VESTIBULOPLASTY

Vestibuloplasty may be approved when a denture could not otherwise be worn.

<u>D7340</u>	Vestibuloplasty - ridge extension (secondary epithelialization) (ARCH)
D7350	Vestibuloplasty - ridge extension (including soft tissue grafts, muscle reattachment, revision of soft tissue attachment and management of hypertrophied and hyperplastic tissue) (ARCH)

<u>CODE</u>	<u>DESCRIPTION</u>
D7530	Removal of foreign body from mucosa, skin, or subcutaneous alveolar tissue (QUAD)
D7540	Removal of reaction-producing foreign bodies – musculoskeletal system (QUAD)
D7550	Partial ostectomy / sequestrectomy for removal of non-vital bone (QUAD)
D7560	Maxillary sinusotomy for removal of tooth fragment or foreign body (QUAD)
	Includes closure of oroantral communication when performed concurrently.

TREATMENT OF FRACTURES – SIMPLE

D7610	Maxilla - open reduction (teeth immobilized if present)
D7620	Maxilla - closed reduction (teeth immobilized if present)
D7630	Mandible - open reduction (teeth immobilized if present)
D7640	Mandible - closed reduction (teeth immobilized if present)
D7650	Malar and/or zygomatic arch - open reduction
D7660	Malar and/or zygomatic arch - closed reduction
D7670	Alveolus - closed reduction, may include stabilization of teeth
D7671	Alveolus - open reduction, may include stabilization of teeth
D7680	Facial bones – complicated reduction with fixation and multiple surgical approaches

TREATMENT OF FRACTURES-COMPOUND

Reimbursement for codes D7710-D7740 includes splint fabrication when necessary.

D7710	Maxilla – open reduction
D7720	Maxilla - closed reduction
D7730	Mandible - open reduction
D7740	Mandible - closed reduction
D7750	Malar and/or zygomatic arch - open reduction
D7760	Malar and/or zygomatic arch - closed reduction
D7770	Alveolus – open reduction stabilization of teeth
D7771	Alveolus - closed reduction stabilization of teeth
D7780	Facial bones – complicated reduction with fixation and multiple surgical approaches

REDUCTION OF DISLOCATION AND MANAGEMENT OF OTHER TEMPOROMANDIBULAR JOINT DYSFUNCTIONS

Routine services for treatment of temporomandibular joint, myofacial pain and related disorders are generally considered beyond the scope of the program. Reimbursement for temporomandibular joint dysfunctions will be permitted only in the specific conditions wherein a definitive diagnosis corroborates necessary treatment. Appropriate documentation (e.g., operative report, procedure description) should accompany all claims as attachments.

D7810	Open reduction of dislocation
D7820	Closed reduction of dislocation

<u>CODE</u>	<u>DESCRIPTION</u>
D7944	Osteotomy - segmented or subapical
D7945	Osteotomy - body of mandible
D7946	LeFort I (maxilla-total)
D7947	LeFort I (maxilla-segmented) When reporting a surgically assisted palatal expansion without downfracture, this code would entail a reduced service and should be "by report" using procedure code D7999.
D7948	LeFort II or LeFort III (osteoplasty of facial bones for midface hyperplasia or retrusion) - without bone graft
D7949	LeFort II or LeFort III with bone graft
D7950	Osseous, osteoperiosteal, or cartilage graft of the mandible or maxilla - autogenous or non autogenous, by report
D7960	Frenulectomy (frenectomy or frenotomy)- separate procedure (ARCH)
D7970	Excision of hyperplastic tissue- per arch (ARCH)
D7971	Excision of pericoronal gingiva (TOOTH) All claims will be pended for professional review.
D7972	Surgical reduction of fibrous tuberosity (QUAD)
D7980	Sialolithotomy
D7981	Excision of salivary gland, by report
D7982	Sialodochoplasty
D7983	Closure of salivary fistula
D7990	Emergency tracheotomy
D7991	Coronoidectomy
D7997	Appliance removal (not by dentist who placed appliance), includes removal of archbar Not for removal of orthodontic appliances. Includes both arches, if necessary.
D7998	Intraoral placement of a fixation device not in conjunction with a fracture Includes both arches, if necessary.
D7999	Unspecified oral surgical procedure, by report

CODE

DESCRIPTION

XI. ORTHODONTICS D8000 - D8999

Eligibility is limited to recipients who:

1. are under 21 years of age;
2. meet financial standards for Medicaid eligibility; and,
3. exhibit a **SEVERE PHYSICALLY HANDICAPPING MALOCCLUSION**.

Orthodontic care for severely physically handicapping malocclusions will be reimbursed for an eligible recipient for a maximum of three years of active orthodontic care, plus one year of retention care. Treatment must be approved and active therapy begun prior to the recipient's 21st birthday. Treatment of cleft palate or approved orthognathic surgical cases may be approved after the age of 21 or for additional treatment time.

With the exception of D8210, D8220 and D8999, orthodontic care is reimbursable only when provided by an orthodontist or an Article 28 facility which have met the qualifications of the DOH and are enrolled with the appropriate specialty code.

The following documentation must be submitted along with the prior approval request:

- Pages 1 and 2 of the completed and signed "Handicapping Labio-Lingual (HLD) Index Report". The HLD Index Report is available in the internet at:
https://www.emedny.org/ProviderManuals/Dental/PDFS/HLD_Index_NY.pdf
- A panoramic and/or mounted full mouth series of intra-oral radiographic images;
- A cephalometric radiographic image with teeth in centric occlusion and cephalometric analysis / tracing;
- Photographs of frontal and profile views;
- Intra-oral photographs depicting right and left occlusal relationships as well as an anterior view;
- Maxillary and mandibular occlusal photographs;
- Photos of articulated models can be submitted optionally (*Do **NOT** send stone casts*).

Subjective statements submitted by the provider or others must be substantiated by objective documentation such as photographs, radiographic images, credible medical documentation, etc. verifying the nature and extent of the severe physical handicapping malocclusion. Requests where there is significant disparity between the subjective documentation (e.g. orthodontic evaluation and narrative) and objective documentation (e.g. photographs and / or radiographic images) will be returned for clarification without review.

CODE

DESCRIPTION

Limited Extended Coverage:

Regardless of whether the dental benefit is administered through Managed Care or through fee-for-service, when eligibility is lost after active orthodontic treatment has been initiated, fee-for-service Medicaid will provide for up to:

- Two (2) quarterly payments; or,
- One (1) quarterly payment and retention; or,
- Retention alone.

The treating orthodontist may decide to complete active treatment (including retention care), initiate retention care to preserve current status, or remove the appliances in cases of minimal progress during active therapy. At least thirty (30) days of treatment must have been provided following the loss of eligibility. When billing for the limited extended coverage, submit a paper claim **to eMedNY** using procedure code D8999, the last date of eligibility as the date of service and identify the stage of treatment when eligibility was lost (eg. 2nd quarter of second year; 1st quarter of third year, etc.). Lost eligibility is only payable one (1) time during the course of orthodontic treatment. If approval for orthodontic treatment was issued through MMC or NYCORP, a copy of the authorization for treatment must also be included.

Only those cases which have been approved for comprehensive orthodontic treatment (D8070, D8080 or D8090) and appliances have been placed and activated are eligible for the “Limited Extended Coverage” benefit.

There is no separate billing for the replacement of broken appliances such as bands, brackets or arch wires.

Medicaid payment for orthodontic services represents payment in full for the entire treatment protocol, regardless of the type of appliances used. Separately billing the recipient for any portion of orthodontic treatment is prohibited. Orthodontists must offer Medicaid beneficiaries the same treatment options offered to the majority of patients in the provider’s practice with similar treatment needs (e.g., orthodontists may not restrict Medicaid recipients to metal brackets if non-Medicaid patients are routinely provided other types of devices, such as: bonded “clear” brackets; “Damon[®]” brackets; “Invisalign[™]” appliances; bite plates or removable appliances), and may not charge Medicaid recipients for the use of these other techniques and/or devices.

Reimbursement for orthodontic services includes the placement and **removal** of all appliances and brackets. Should it become necessary to remove the bands due to non-compliance or elective discontinuation of treatment by the provider, parent, guardian or recipient the appliance(s) must be removed at no additional charge to either the recipient, family or Medicaid.

CODE

DESCRIPTION

In cases where treatment is discontinued, a “Release from Treatment” form must be provided by the dental office which documents the date and the reason for discontinuing care. The release form must be reviewed and signed by parent/guardian and recipient.

Requests for continuation of orthodontic treatment which was begun without prior approval from the DOH or a NYS managed care plan will be evaluated using the same criteria and guidelines to determine if a severe physically handicapping **currently** exists. If continuation of treatment is denied, debanding and retention might be approvable using procedure code D8690.

Orthognathic Surgical Cases with Comprehensive Orthodontic Treatment

- Recipients must be at least 15 years of age for case consideration;
- The surgical consult, complete treatment plan and approval for surgical treatment (if necessary) must be included with the request for orthodontic treatment;
- Prior approval and documentation requirements are the same as those for comprehensive treatment;
- A statement signed by the parent/guardian and recipient that they understand and accept the proposed treatment, both surgical and orthodontic, and understand that approval for orthodontic treatment is contingent on completion of the surgical treatment.

Behavior Not Conducive to Favorable Treatment Outcomes

It is the expectation that the case selection process for orthodontic treatment take into consideration the recipient’s ability over the course of treatment to:

- Tolerate orthodontic treatment;
- Comply with necessary instructions for home care (wearing elastics, headgear, removable appliance, etc.)
- Keep multiple appointments over several years;
- Maintain an oral hygiene regimen;
- Be cooperative and complete all needed preventive and treatment visits.

If it is determined that the recipient is exhibiting non-compliant behavior such as: multiple missed orthodontic and general dental appointments, continued poor oral hygiene, and/or failure to maintain the appliances and/or untreated dental disease; a letter must be sent to the parent/guardian that documents the factors of concern and the corrective actions needed and that failure to comply can result in discontinuation of treatment. A copy must be sent to the DOH. If orthodontic treatment is discontinued for cause, the parent/guardian and/or recipient must sign a statement that they understand that treatment is being discontinued prior to completion; the reason(s) for discontinuation of treatment; and, that it may jeopardize their ability to have further orthodontic treatment provided through the NYS Medicaid Program. The treating orthodontist must make reasonable provisions to provide necessary treatment during the transition of care to another provider or for debanding.

CODE

DESCRIPTION

All approved courses of comprehensive orthodontic treatment must be concluded in a manner acceptable to the DOH and the DOH notified. Appropriate means of concluding treatment include:

- Successful completion of treatment and the issuance of a prior approval by the DOH for debanding and/or retention;
- Notification that treatment is being discontinued for cause and that the parent/guardian and/or recipient have been appropriately notified;
- Loss of eligibility and utilization of the “Limited Extended Coverage” benefit to conclude treatment.

Treatment must continue to a point satisfactory to the DOH, regardless of the length of time treatment is required and even if all Medicaid benefits have been exhausted, without charge to the NYS Medicaid Program, the recipient or family. Failure to conclude treatment in an acceptable manner can result in the recovery of the entire cost of the complete course of treatment.

For those procedures listed in the “Dental Fee Schedule” without a published fee and listed as both “By Report (BR)” and “Prior Approval (PA optional)”:

- All claims must be submitted on paper and will be pended for review and final pricing;
- Procedures can be reviewed for appropriateness and tentatively priced before treatment by submitting a prior approval request to Albany along with photographs and documentation that a qualifying physically handicapping malocclusion exists. If a higher fee than that approved is appropriate following treatment, documentation (e.g. laboratory receipts, treatment records, etc.) can be submitted along with the paper claim for re-pricing;
- OR -
- Procedures can be priced after treatment without prior approval as a “By Report” based on documentation submitted with the claim substantiating a qualifying physically handicapping malocclusion. If it is determined that a qualifying physically handicapping malocclusion warranting treatment did not exist, no payment will be made.

CODE

DESCRIPTION

The NYS Medicaid Program will reimburse for those services that are medically necessary, are an integral part of the actual treatment, or that are required by the Department. Orthodontic records taken for the provider's records, such as photographs (D0350), models (D0470) or radiographic images (including a FMS (D0210), panoramic (D0330) and cephalometric (D0340)) are not required by the Department and are considered part of the reimbursement for the treatment and are not payable separately. The provider can take these records as part of the treatment records, but they cannot charge the NYS Medicaid Program, the recipient or family. Payment may be considered on an exceptional basis if there is documentation of medical necessity.

LIMITED ORTHODONTIC TREATMENT

Orthodontic treatment with a limited objective, not involving the entire dentition. It may be directed at the only existing problem, or at only one aspect of a larger problem in which a decision is made to defer or forego more comprehensive therapy.

D8010	Limited orthodontic treatment of the primary dentition
D8020	Limited orthodontic treatment of the transitional dentition
D8030	Limited orthodontic treatment of the adolescent dentition
D8040	Limited orthodontic treatment of the adult dentition

INTERCEPTIVE ORTHODONTIC TREATMENT

Treatment using codes for interceptive orthodontic treatment are for procedures to lessen the severity or future effects of a malformation and to eliminate its cause. An extension of preventive orthodontics that may include localized tooth movement. Such treatment may occur in the primary or transitional dentition and may include such procedures as the redirection of ectopically erupting teeth or correction of isolated dental crossbite.

If comprehensive treatment is required following a course of interceptive treatment, a period of 12 to 18 months should be allowed prior to requesting comprehensive treatment to provide for stabilization of the result.

D8050	Interceptive orthodontic treatment of the primary dentition
D8060	Interceptive orthodontic treatment of the transitional dentition

CODE

DESCRIPTION

COMPREHENSIVE ORTHODONTIC TREATMENT

With the exception of cleft palate and other surgical cases, only recipients with late mixed dentition or permanent dentition will be considered for the initiation of comprehensive orthodontic treatment.

Reimbursement for codes D8070, D8080 or D8090 is limited to once in a lifetime as initial payment for an approved course of orthodontic treatment. The recipient's dentition will determine the single code to be used and can only be billed when all appliances have been placed and active treatment has been initiated. The placement of the component parts (e.g. brackets, bands) does not constitute complete appliance insertion or active treatment.

For quarterly payment, see procedure code D8670. Reimbursement for comprehensive orthodontic treatment is ALL INCLUSIVE and covers ALL orthodontic services, both fixed and removable that needs to be provided to correct the orthodontic condition. A prior approval request for continuation of comprehensive orthodontic treatment (2nd year, 3rd year and retention) must be submitted annually to the DOH along with a progress report and photographs of the current conditions to assess the progress of treatment and determine if additional treatment time (up to a maximum of three (3) years) is warranted.

<u>D8070</u>	Comprehensive orthodontic treatment of the transitional dentition
<u>D8080</u>	Comprehensive orthodontic treatment of the adolescent dentition
<u>D8090</u>	Comprehensive orthodontic treatment of the adult dentition

MINOR TREATMENT TO CONTROL HARMFUL HABITS

Includes appliances for habits such as thumb sucking and tongue thrusting. Can be used by all enrolled dentists regardless of specialty.

D8210	Removable appliance therapy
D8220	Fixed appliance therapy

<u>CODE</u>	<u>DESCRIPTION</u>
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OTHER ORTHODONTIC SERVICES

D8660 Pre-orthodontic treatment visit

Orthodontist only.

May not be reimbursed in conjunction with other examination codes.

D8670 Periodic orthodontic treatment visit (as part of contract)

The recipient must have been seen and actively treated at least once during the quarter. Cannot be used for “observation”. This code can be billed quarterly for a maximum of twelve (12) payments and can only be billed a maximum of four (4) times in a twelve-month period beginning 90 days after the date of service on which orthodontic appliances have been placed and active treatment begun and at the end of each subsequent quarter. Claims billed more frequently will result in an automatic systems denial. In the event that eligibility of lost during a quarter, at least one month of active treatment must have elapsed to qualify for payment under the “limited extended coverage” benefit.

D8680 Orthodontic retention (removal of appliances, construction and placement of retainer(s))

Includes all follow-up visits needed for observation and adjustments.

Requests must be submitted and approval obtained PRIOR to the removal of appliances. Any request denied or otherwise returned for insufficient results will require the re-application of all appliances, if necessary, and continuation of care without additional compensation.

D8690 Orthodontic treatment (alternative billing to a contract fee)

Services provided by an orthodontist other than the original treating orthodontist. This is limited to transfer care and removal of appliances.

D8692 Replacement of lost or broken retainer

This procedure will be reimbursed once per lifetime and includes both arches, if necessary. Must be within one year of D8680. Documentation must be submitted detailing the circumstances of how the appliance was lost or broken. Appliances which do not fit will not be replaced.

D8999 Unspecified orthodontic procedure, by report

<u>CODE</u>	<u>DESCRIPTION</u>
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XII. ADJUNCTIVE GENERAL SERVICES D9000 - D9999

UNCLASSIFIED TREATMENT

- D9110 **Palliative (emergency) treatment of dental pain - minor procedure**
 Not reimbursable in addition to other therapeutic services performed at the same visit or in conjunction with initial or periodic oral examinations when the procedure does not add significantly to the length of time and effort of the treatment provided during that particular visit.
 When billing, the provider must document the nature of the emergency, the dental site and the specific treatment involved. This information should be placed on a separate sheet of paper and submitted with paper claim form 'A'.
 Not to be used for denture adjustments (see D5410 – D5422).
- D9120 **Fixed partial denture sectioning (QUAD)**

ANESTHESIA

The cost of analgesic and anesthetic agents is included in the reimbursement for the dental service. The administration of nitrous oxide is not separately reimbursable. Reimbursement for general anesthesia, intravenous (parenteral) sedation and anesthesia time is conditioned upon meeting the definitions listed below.

Anesthesia time begins when the doctor administering the anesthetic agent initiates the appropriate anesthesia and non-invasive monitoring protocol and remains in continuous attendance of the recipient. Anesthesia services are considered completed when the recipient may be safely left under the observation of trained personnel and the doctor may safely leave the room to attend to other patients or duties.

The level of anesthesia is determined by the anesthesia provider's documentation of the anesthetic's effect upon the central nervous system and not dependent upon the route of administration.

Since anesthesia time is divided into units for billing purposes, the number of such units should be entered in the "Times Performed" field of the claim form for procedure codes D9220-D9242. The **first 30 minutes** of anesthesia time is billed as one (1) unit using the appropriate code (**either** D9220 or D9241). If the procedure requires more than 30 minutes of anesthesia time, **additional time is billed in 15-minute units** (one unit = 15 minutes) using the appropriate code (either D9221 or D9242).

- D9220 **Deep sedation/general anesthesia – first 30 minutes**
 Requires SED certificate in "General Anesthesia"
- D9221 **Deep sedation/general anesthesia – each additional 15 minutes**
 Requires SED certificate in "General Anesthesia"
- D9241 **Intravenous conscious sedation/analgesia – first 30 minutes**
 Requires SED certificate in "General Anesthesia", or, "Parenteral Conscious Sedation"

CODE

DESCRIPTION

D9242 **Intravenous conscious sedation/analgesia – each additional 15 minutes**
Requires SED certificate in “General Anesthesia”, or, “Parenteral Conscious Sedation”

PROFESSIONAL CONSULTATION

D9310 **Consultation - diagnostic service provided by dentist or physician other than requesting dentist or physician**
The consulted provider must be enrolled in one of the dental specialty areas recognized by the NYS Medicaid program and the claim must include the NPI of the referring provider. The referring provider cannot be from the same group as the consulting provider.
The following records must be retained in the recipient’s permanent record and provided upon request:

- A copy of the written request from the referring provider; and,
- A copy of the written evaluation to the referring provider with the findings and recommendations.

If the consultant provider assumes the management of the recipient after the consultation, subsequent services rendered by that provider will not be reimbursed as consultation. Referral for diagnostic aids (including radiographic images) does not constitute consultation but is reimbursable at the listed fees for such services. Consultation will not be reimbursed if claimed by a provider within ninety days of an examination or an office visit for observation (D9430).

PROFESSIONAL VISITS

D9410 **House/extended care facility call**
Fee for service reimbursement will not be made for those individuals who reside in facilities where dental services are included in the facility rate. In those cases, reimbursement must be sought directly from the facility.
Per visit, regardless of number of beneficiaries seen and represents the total extra charge permitted, and is not applicable to each recipient seen at such a visit.

D9420 **Hospital call**

CODE

DESCRIPTION

Per visit, per recipient (to be added to fee for service). This service will be recognized only for professional visits for pre-operative or operative care. Post-operative visits are not reimbursable when related to procedures with assigned follow-up days. Hospital calls are not reimbursable for hospital-based providers.

Payable only when provided in a FACILITY where professional services are not included in the rate. To expedite claims review, documentation that services were provided in a hospital, such as a copy of the hospital notes/record, should be submitted along with a paper claim.

D9430 Office visit for observation (during regularly scheduled hours) – no other services performed

The provider must be enrolled in one of the dental specialty areas recognized by the NYS Medicaid program. Used to monitor the status of a recipient following an authorized phase of **surgical** treatment that are required beyond the follow up period for that procedure listed in the fee schedule. Not be used for orthodontic retention follow-up visits. Reimbursement includes the prescribing of medications and is limited to two instances per clinical episode.

May also be used for those individuals identified with a recipient exception code of RE 81 (“TBI Eligible”) or RE 95 (“OMRDD/Managed Care Exemption”) where definitive treatment cannot be performed due to the recipient’s behavior. Cannot be used with any treatment codes or behavior management (D9920) on the same date of service. Limited to four (4) instances per year per recipient.

D9440 Office visit - after regularly scheduled hours

Cannot be billed in conjunction with an examination, observation or consultation

DRUGS

D9610 Therapeutic parenteral drug, by report

Submit on a paper claim with an itemized invoice indicating name and dosage of drug(s) administered.

CODE

DESCRIPTION

MISCELLANEOUS SERVICES

D9920 Behavior management, by report

This is a per visit incentive to compensate for the greater knowledge, skill, sophisticated equipment, extra time and personnel required to treat this population. This fee will be paid in addition to the normal fees for specific dental procedures. For purposes of the NYS Medicaid program, the developmentally disabled population (OPWDD beneficiaries) for which procedure code D9920 may be billed is limited to those who receive ongoing services from community programs operated or certified by the New York State Office for People with Developmental Disabilities (OPWDD). These individuals are identified with a recipient exception code of RE 81 ("TBI Eligible") or RE 95 ("OMRDD/Managed Care Exemption"). A "Medical Immobilization/Protective Stabilization (MIPS)" form (Institutions only) also qualifies for use of this procedure code. Cannot be used in conjunction with D9430.

Not billable as a "stand alone" procedure or in combination with deep sedation/general anesthesia. Another billable clinical service must be provided on the same date of service.

D9940 Occlusal guard, by report

Claims should be submitted on paper with documentation of necessity submitted as an attachment to the claim. Laboratory receipts need to be provided as claims documentation for additional payment for laboratory expenses.

D9999 Unspecified adjunctive procedure, by report

CODE

DESCRIPTION

MISCELLANEOUS PROCEDURES

T1013 Sign Language or Oral Interpretive Services

For patients with limited English proficiency defined as patients whose primary language is not English and who cannot speak, read, write or understand the English language at a level sufficient to permit such patients to interact effectively with health care providers and their staff.

The need for medical language interpreter services must be documented in the medical record and must be provided during a medical visit by a third party interpreter, who is either employed by or contracts with the Medicaid provider.

These services may be provided either face-to-face or by telephone. The interpreter must demonstrate competency and skills in medical interpretation techniques, ethics and terminology. It is recommended, but not required, that such individuals be recognized by the National Board of Certification for Medical Interpreters (NBCMI).

Reimbursement of medical language interpreter services is payable with HCPCS procedure code T1013- sign language and oral interpretation services and is billable during a medical/dental visit. Limited to two (2) units:

One Unit: Includes a minimum of eight (8) and up to 22 minutes;

Two Units: Includes 23 or more minutes.

Documentation of necessity must be submitted as an attachment to a paper claim.